# A Ten-Year Follow-Up of a Supported Employment Program

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<u>Objective:</u> Supported employment has steadily increased in prominence as an evidence-based mental health practice, and research shows that the service significantly improves employment outcomes over one to two years. The objective of this study was to examine the outcomes of supported employment ten years after an initial demonstration project. Methods: The study group consisted of 36 clients who had participated in a supported employment program at one of two mental health centers in 1990 or 1992. Clients were interviewed ten years after program completion about their employment history, facilitators to their employment, and their perceptions of how working affected areas of their lives. Results: Seventy-five percent of the participants worked beyond the initial study period, with 33 percent who worked at least five years during the ten-year period. Current and recent jobs tended to be competitive and long term; the average job tenure was 32 months. However, few clients made the transition to full-time employment with health benefits. Clients reported that employment led to substantial benefits in diverse areas, such as improvements in self-esteem, hope, relationships, and control of substance abuse. Conclusions: On the basis of this small sample, supported employment seems to be more effective over the long term, with benefits lasting beyond the first one to two years. (Psychiatric Services 55:302-308, 2004)

Supported employment has steadily spawned greater interest within the mental health, rehabilitation, and advocacy communities and is considered to be an evidence-based mental health practice (1). Under the rubric of recovery, consumers have emphasized the importance of functional outcomes and quality of life (2). The ideological commitment to community integration focuses on adult roles in the com-

munity rather than dependent roles in segregated settings (3). The President's New Freedom Commission on Mental Health (4), the Surgeon General (5), the National Alliance for the Mentally Ill (6), and the National Institute of Mental Health (7) have identified the importance of employment as an outcome of mental health rehabilitation.

By definition, supported employment assists people with the most se-

vere disabilities so that they are able to obtain competitive employment directly—on the basis of the client's preferences, skills, and experiences and provides the level of professional help that the client needs. Competitive employment includes jobs that have permanent status, pay at least minimum wage, and are not set aside for people with disabilities, that is, anyone can apply. Research has consistently shown that supported employment is more successful than previous approaches in helping persons with severe mental illnesses to attain competitive jobs (1,8–11). For example, according to the Cochrane Review, rates of competitive employment were three times as high in supported employment programs as in other programs (11,12). This systematic review found that clients who received supported employment were significantly more likely to be in competitive employment than those who received prevocational training; for example, at 12 months 34 percent of clients in supported employment programs were employed, compared with 12 percent of clients in prevocational training programs.

In addition to higher rates of competitive employment, clients in supported employment programs report high satisfaction with their jobs and their improved financial status (12). However, one limitation of existing follow-up studies is that nearly all the studies span one to two years, a relatively brief period (13). Other limitations of these follow-up studies are that many of the jobs obtained in supported employment last less than six

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months, and clients receive little subsequent follow-up after the study is completed (14).

A few supported employment studies that were followed up have examined the persistence of employment outcomes. McHugo and colleagues (15) followed a group of clients who participated in a supported employment study for two years beyond the original study, which lasted for 18 months. Despite the erosion of vocational services because of the loss of grant funds, the high rate of competitive employment in this group was maintained for two additional years. There was also some evidence that continued supports were related to continued success in employment. In another long-term study, Bond and colleagues (16) also found persistence of employment in a setting in which vocational supports continued three years after a supported employment grant was terminated. However, other studies found that employment rates decreased rapidly after grant funds were terminated (17,18).

We conducted a ten-year follow-up of former day treatment clients who were originally studied when two separate day treatment programs were converted to supported employment programs in the early 1990s. Our hypotheses were that clients who had participated in the original supported employment program would obtain jobs over the ten-year period that would be characterized by longer job tenure and high satisfaction. Given the limitations imposed by rules for benefits and federal health insurance, we also hypothesized that the majority of participants would remain on Social Security benefits and Medicaid insurance over the ten-year period.

#### Methods

#### Setting

Two rural rehabilitative day treatment centers in Lebanon, New Hampshire, and Claremont, New Hampshire, closed in 1990 and 1992, respectively. These centers then substituted supported employment programs based on the Individual Placement and Support model. This model emphasizes the integration of vocational and clinical services; rapid job search; matching jobs to clients' pref-

erences, skills, and experiences; and ongoing job supports (19). Both program conversions demonstrated increases in competitive employment outcomes without adverse effects (20-22). Importantly, both centers have maintained their focus on supported employment since the program conversion. Services are organized into multidisciplinary teams in which employment is supported by all team members. In the original study of the centers' supported employment programs, clients were followed for one year to determine how they were affected by program conversion and by the supported employment program (20). Clients of the original study, as well as new clients, share the

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mental health centers' view of the importance of work and community integration. Since the day treatment programs closed in the early 1990s, the agencies have shown no interest in reopening the program. However, an expansion of social opportunities for clients has occurred through the development of consumer-run recovery centers (23).

# **Participants**

For our ten-year follow-up study, we attempted to locate all participants who had been defined as regular users of day treatment services in 1990 or 1992. These clients were the primary focus of the original one-year follow-up study (20,24). We followed a standardized informed consent pro-

cedure, explaining the study and reading the consent statement to the participant. These procedures as well as the interview described below were reviewed and approved by the institutional review boards of both Dartmouth College and the state of New Hampshire.

#### Measures

We developed a semistructured interview specifically for this study. (The interview is available from the second author.) The interview gathered information about demographic characteristics, including Social Security benefits, clients' work history for the ten-year period, facilitators of employment, and clients' perceived effects of working. To measure facilitators, we asked participants several open-ended questions about problems they had encountered when they tried to find or keep a job and things that helped them to find or keep a job. These open-ended questions were followed by rating scales that asked participants to rate the helpfulness of 20 different potential facilitators (1, not at all; 2, some; 3, a lot). Facilitators included having someone to encourage them to work and having someone to help them practice for a job interview. Perceived effects of working were assessed with two open-ended questions that asked the participant to identify the positive and negative aspects of working. We followed these questions with structured ratings of how work affected the frequency of services and the supports clients received. Specifically, participants were asked whether working affected how often they saw their case manager, psychiatrist, and family members; how often they went to the hospital; or how much medication they needed (1, less; 2, the same; 3, more). Finally, we asked participants to rate how working affected other areas of their lives, such as symptoms, medication side effects, and self-confidence (1, worse; 2, the same; or 3, better). Interviews were conducted by the authors in 1999 and 2000.

## Results

### Study group characteristics

Of the 62 clients who were regular day treatment users originally includ-

Table 1

Demographic and clinical characteristics at the time of the ten-year follow-up interview for 36 adults who participated in a supported employment program in 1990 or 1992

Characteristics	N	%
Gender		
Male	18	50
Female	18	50
Age (mean±SD years)	$45.5 \pm 10$	
Race		
White	33	92
American Indian	2	6
Hispanic	1	3
Marital status		
Never married	24	67
Married	4	11
Divorced or widowed	8	22
Education status		
Less than high school	10	28
High school or graduate equivalency diploma	12	33
Some college or technical school	10	28
A bachelor's degree or higher	4	11
Receiving Social Security benefits <sup>a,b</sup>	34	97
Supplemental Security Income	17	49
Social Security Disability Insurance	18	51
Other Social Security benefits	5	14
Any insurance benefits <sup>a,b</sup>	35	100
Private health insurance	2	6
Medicaid	32	91
Medicare	21	62
Department of Veterans Affairs benefits	1	3
Primary diagnosis <sup>b</sup>		
Schizophrenia	16	46
Schizoaffective disorder	6	17
Major depression	5	14
Posttraumatic stress disorder	3	9
Bipolar disorder	3	9
Personality disorder	2	6

<sup>&</sup>lt;sup>a</sup> Types of benefits are not mutually exclusive.

ed in the one-year follow-up study, we were able to locate and interview 36 clients, or 58 percent. Seven clients had moved and could not be located, 12 declined to be interviewed, three were hospitalized or unable to give informed consent, and four were deceased. Participants in the ten-year follow-up study group were significantly younger than nonparticipants at the time of the original study; participants had a mean±SD age of 36.5±10 and nonparticipants had a mean age of  $43.4 \pm 13.2$  (t=2.32, df=60, p<.05). Participants of the tenyear follow-up study did not differ significantly from nonparticipants in other available baseline characteristics for which data were available, such as gender, educational level, or diagnosis. In addition, at the time of the original study, participants of the ten-year follow-up study did not differ from nonparticipants in employment outcomes in the categories of presence of community employment, hours worked, and wages earned. Of the 36 participants, 31 (86 percent) were still receiving services from the agency.

Demographic characteristics of the follow-up study group are shown in Table 1. Of note is the finding that 97 percent of the participants were receiving some form of Social Security benefits at the time of the interview. Similarly, 91 percent were receiving public health insurance through Medicaid.

#### Employment history

At the time of our study, the vast majority of clients (33 clients, or 92 per-

cent) reported having participated in work activity during the past ten years, including paid work, volunteer positions, sheltered work, and homemaking; 17 clients (47 percent) were currently employed at the time of the interview. We examined patterns of work over time based on the type, frequency, and duration of work reported. Five clients (14 percent) did not work for pay at all during the ten-year period, and an additional four clients (11 percent) worked in the initial years after program conversion. About a third of the study group (11 clients, or 31 percent) worked sporadically throughout the entire tenyear period; four (11 percent) were sporadic in their work initially, but by the time of the ten-year follow-up study, they had become workers with at least 12 months of continuous employment. Finally, 12 clients (33 percent) were consistently employed for at least five years of the ten-year follow-up period. For those who worked, the mean number of jobs held during the past ten years was  $3.1\pm1.9$ , ranging from one to seven.

We compared workers who were consistently employed for at least five years of the ten-year follow-up period (N=16) with the others (N=20) on the background characteristics listed in Table 1. Workers who were consistently employed were more likely than the remainder to be male (69 percent compared with 35 percent;  $\chi^2$ =4.05, df=1, p<.05) and were less likely to be insured through Medicaid (81 percent compared with 100 percent;  $\chi^2$ =3.99, df=1, p<.05). The two groups did not differ significantly on the remaining variables.

Job descriptors for persons who were employed over the ten-year period are shown in Table 2. Data are given for persons with a recent job (N=33) and for persons with a current job (N=17). Of the clients who detailed their most recent job, 13 clients held a competitive job in the service industry, for example, a clerk at donut shop or a maintenance person (39 percent); five clients (15 percent) were employed competitively in a professional, technical, or managerial position, for example, a crisis respite worker or a peer counselor. The crisis respite and peer counselor

<sup>&</sup>lt;sup>b</sup> Data were available for 35 participants.

positions were classified as competitive even though the positions were designed for consumers, because the designation was based on their experiences and skills rather than on their mental disability. The mean length of time, number of hours worked per week, and rate of pay for the most recent and the current position are shown in Table 2.

We examined rates of employment in the original one-year follow-up study to see whether clients who were currently employed in our ten-year follow-up study were the same clients who were the most successful in the original study. Of the 17 participants who were currently employed, nine (53 percent) had also worked during the first year of the study, and of the 19 participants who were not currently employed, six (32 percent) had worked during the original one-year follow-up study, although the results were not significant. The two employment groups did not differ significantly in hours worked or wages earned during the original study. Clients who were currently employed at the time of our study had worked a mean of 126.3±249 hours during the original study period, compared with 117.4±300.3 hours for clients who were not currently employed. Clients who were currently employed at the time of our study had earned a mean of \$543.06± \$1,150.43 during the entire period of the original study, compared with \$738.37±\$1,880.49 during the original study for clients who were not currently employed.

#### Facilitators of work

As shown in Table 3, clients with work experience reported that several factors were very helpful. For example, working reduced schedules in terms of hours per day or number of days per week and knowing about disability benefits were the facilitators cited most frequently by clients.

# Perceived effects of work

In terms of the support received, most participants reported that work generally did not have much effect on how often they were in contact with professional and nonprofessional supporters. Most clients responded

**Table 2**Characteristics of jobs held by 33 adults during the ten years after they participated in a supported employment program<sup>a</sup>

Characteristics	Most recent job	(N=33)	Current job (N=17)		
	N	%	N	%	
Job type					
Competitive	23	70	15	88	
Volunteer	5	15	1	6	
Casual	43	9	1	6	
Sheltered	1	3	0	0	
Homemaking	1	3	0	0	
Job codes from the <i>Dictionary</i>					
of Occupational Titles					
Professional, technical,					
or managerial	5	15	5	29	
Clerical or sales	7	21	4	24	
Service	13	39	6	35	
Agricultural	4	12	1	6	
Benchwork	1	3	0	0	
Miscellaneous	3	9	1	6	
Mean±SD number of months					
on the job	$32.1 \pm 36.3$		$50.5 \pm 41.3$		
Mean±SĎ hours per week <sup>b</sup>	$14.4 \pm 12.1$		$13.7 \pm 9.2$		
Categorical hours per week					
10 or fewer hours per week	16	50	8	50	
11 to 20 hours per week	7	22	4	25	
21 to 30 hours per week	6	19	4	25	
31 to 40 hours per week	3	9	0	0	
Mean±SD hourly wage	$$6.57 \pm $2.03$		$$6.55 \pm $2.20$		

<sup>&</sup>lt;sup>a</sup> Three participants were never employed during the ten-year period.

"same" when asked whether work affected how often they saw their case manager (19 clients, or 63 percent), psychiatrist (20 clients, or 63 percent), and family members (23 clients, or 70 percent); how often they went to the hospital (13 clients, or 42 percent); and the amount of medication they needed (20 clients, or 63 percent). A substantial minority of participants reported that they saw their psychiatrist less (ten clients, or 31 percent) and went to the hospital less (12 clients, or 39 percent) because of working. (Ns vary because of missing data.)

Table 4 displays the impact of work on different aspects of the participants' lives. Notably, most participants reported that their symptoms and the side effects of their medications were the same whether they worked or not. On the remaining items, the majority of clients reported that work made these aspects better. The particular areas of improvement ranged from physical health (14 clients, or 42 percent) to "feelings"

about yourself in general" (27 out of 32 clients, or 84 percent).

#### Discussion

Overall, the consumers in our study group demonstrated substantial employment rates during the ten years that followed the conversion from a day treatment program to a supported employment program. Almost all the consumers reported that they were employed at some point during the ten-year follow-up period, and 17 consumers (47 percent) were employed at the time of the ten-year follow-up interview. The majority of the jobs were competitive, with consumers making at least minimum wage in a community setting. These rates of employment are very high given the nature of the study group high users of day treatment in the original conversion study. In the original study, which followed clients for one year, only 29 percent of day treatment participants were employed (24), and a recent survey of other day treatment participants found that

<sup>&</sup>lt;sup>b</sup> Data were missing for one participant.

Table 3 Facilitators of employment cited by adults who had participated in a supported employment program and who were employed during the ten-year follow-up period  $(N=33)^a$ 

Description	Not applicable		Not at all		Some		A lot	
	N	%	N	%	N	%	N	%
Working a few hours at a time rather than a whole day	0	_	1	3	8	24	23	70
Working a few days a week rather than the whole week	2	6	2	6	8	24	20	61
Knowing more about your disability benefits								
(Social Security, Medicaid, or Medicare)	3	9	2	6	7	21	20	61
Getting help learning how to do the tasks of the job	2	6	3	9	9	27	18	55
Having someone encourage you to try working	2	6	3	9	12	36	16	49
Having someone help you choose a job that fits your								
needs and interests	6	18	3	9	7	21	16	49
Having your medications adjusted	8	24	5	15	3	9	16	49
Having someone be at work with you in the beginning								
while you are getting started	8	24	7	21	3	9	14	42
Working in a group with other people you know	9	27	3	9	6	18	13	39
Having someone help you find jobs that are available	4	12	3	9	13	39	12	36
Having someone help you get along better with people at work	10	30	5	15	6	18	11	33
Having someone to talk to about the stress of working	6	18	5	15	10	30	11	33
Working in a group with other consumers								
you know from the mental health center	8	24	6	18	8	24	10	30
Having someone take you to a job interview	11	33	2	6	11	33	8	24
Having someone take you to work regularly	16	49	2	6	6	18	8	24
Having someone help you fill out job applications	8	24	8	24	9	27	7	21
Having a trial period of work (for example, two weeks)								
to test it out	18	55	3	9	4	12	7	21
Getting more training or schooling	15	46	4	12	6	18	7	21
Talking to other consumers about their work experiences	9	27	5	15	13	39	6	18
Having someone help you practice for a job interview	13	39	9	27	4	12	6	18

<sup>&</sup>lt;sup>a</sup> For some items responses were missing from one participant.

only 16 percent were employed (25). Thus the employment rates in our study group are strong.

Of the participants who did work over the ten-year period, many of the jobs they held were long term, with an average tenure of almost three years. In addition, participants noted many positive effects that working had on their lives, particularly in reference to their perceptions of self-worth. Thus supported employment seems to be more effective for the long term, with benefits that last beyond the first one to two years, the duration of most follow-up studies. Notably, 86 percent of participants in this study continued their involvement with a mental health center that included a focus on supported employment, a focus that continued throughout the tenyear follow-up period. These mental health centers provide a culture in which work is valued and consumers are expected to work. Thus the participants in our study likely had continued contact with supported employment services in the intervening years.

Interestingly, participants' success in the original one-year follow-up study appeared to have minimal impact on their later employment. Clients who were employed at the ten-year follow-up were not more likely than those who were not employed to have been employed, to have made more money, or to have worked more hours during the original study. Although the lack of statistical differences may result in part from the small sample size, of the 17 study participants currently employed, eight (47 percent) had not worked at all during the first year of the program. Some clients initially may not be interested in competitive work, but they still can become consistently employed. Thus some clients may need more than a year of supported employment before positive effects are seen. This result highlights the need for a long-term perspective when working with clients in supported employment programs. The result

also points to the need for longer follow-up periods in studies of supported employment.

Although the majority of participants were consistently employed by the end of the ten-year period, supported employment may not be appropriate for everyone: five participants (14 percent) did not work for pay at all over the course of our study, and an additional 11 percent worked a little early on, but not for very long. Because the original study examined all regular users of day treatment, not just those with stated vocational goals, all the participants may not have wanted to work. Supported employment is designed for consumers who want to work. Other clients may have tried working and then decided to pursue other goals. Thus we expect that some clients would not seek or try to maintain employment over the ten-year period.

Despite positive employment results, almost all the consumers continued to receive Social Security benefits, which is not surprising given the

real and perceived barriers to giving up of benefits (26,27). In our study, half of those who were employed worked ten hours a week or less. The average hourly wage was less than \$7, and the maximum reported wage did not exceed \$10. It would be nearly impossible to sustain independent living, for which many clients would require expensive medications, at this level of employment. On the other hand, it may be that some consumers choose to work at this low level so that they can keep their government benefits. Benefits counseling often includes discussions about the maximum pay a consumer can receive and still maintain current benefits (28). Policy changes, such as the Ticket to Work and Work Incentive Improvement Act, may remove some of these barriers by increasing the ability of consumers to make choices and reducing their concerns about benefit loss (29).

Consistent with the idea of community integration as a key factor in recovery, most of the consumers reported that working improved multiple areas of their lives, particularly feelings about themselves and life in general. Working made the participants feel less bored and lonely and more self-confident and hopeful about the future. These findings are even more interesting in light of the finding that most participants did not think that working improved their symptoms or medication side effects. Rehabilitation specialists have argued for years that rehabilitation can be successful for persons with mental illness, regardless of their symptoms (30).

This study has several limitations worth noting. First, the study group was small, and we conducted followup interviews with 58 percent of the original sample, or 62 percent of the living participants. Given the severity of illness of the participants in the original study and the ten-year follow-up period of our study, this participation rate is good. However, the combination of the small sample and the rural setting of the supported employment program leads to concern about the generalizability of our findings. We need further data from larger, more diverse samples. In addition, the interviews were based on self-re-

Table 4

Impact of employment on adults who had participated in a supported employment program and who were employed during the ten-year follow-up period  $(N=33)^a$ 

Item	Worse		Same	Same		Better	
	N	%	N	%	N	%	
How did work affect:							
Feelings about yourself in general	1	3	4	13	27	84	
Self-confidence	2	6	6	18	25	76	
Feelings about life in general	1	3	9	27	23	70	
Hopefulness	0	0	10	30	23	70	
Boredom	1	3	11	34	20	63	
Loneliness	1	3	12	38	19	59	
Relationships with other people	1	3	12	38	19	59	
Drug use <sup>b</sup>	2	17	3	25	7	58	
Alcohol use <sup>c</sup>	3	14	6	29	12	57	
Energy level	4	13	11	34	17	53	
Physical health	7	21	12	36	14	42	
Symptoms	9	27	12	36	12	36	
Medication side effects	5	17	22	73	3	10	

<sup>&</sup>lt;sup>a</sup> Mean±SD effect of work, 2.5±.4; range=1.4 to 3.0. Effect of work was measured on a 3-point scale developed by the authors, with higher numbers indicating greater effect.

port and were not validated by agency records or other informants. Finally, we had no method for controlling factors that may have contributed to outcomes, and as noted above, the large majority of participants were involved in a mental health program that emphasized supported employment consistently over many years. Thus it is impossible to make clear causal attributions for our study outcomes, for example, to determine the effects of initial intervention versus the effects of ongoing treatment. The most parsimonious interpretation of the data is that ongoing involvement in the mental health program, including supported employment, over ten years was likely related to the pattern of improved vocational outcome. Although this was not a controlled study, other interpretations, such as delayed effects, are plausible but unlikely and untestable.

Despite these limitations, our study represents a new perspective on the effectiveness of supported employment. Our study is the first look at the long-term impact of supported employment, with follow-up data ten years after the initial conversion from a day treatment program to a supported employment program. Our findings are encouraging in terms of rates of employment, particularly competitive employment, and the benefits of working that clients perceived in other areas of their lives. Our findings also raise questions about whether self-sufficiency is a realistic goal for most mental health consumers. Given the current constraints of insurance and benefits, most consumers appear to focus on goals, such as increasing income, self-worth, and community integration, rather than on self-sufficiency. •

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<sup>&</sup>lt;sup>b</sup> Question applied to 12 persons

<sup>&</sup>lt;sup>c</sup> Question applied to 21 persons

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# Psychiatric Services Invites Short Descriptions of Novel Programs

Psychiatric Services invites contributions for Frontline Reports, a column featuring short descriptions of novel approaches to mental health problems or creative applications of established concepts in different settings.

Text should be 350 to 750 words. A maximum of three authors, including the contact person, can be listed; one author is preferred. References, tables, and figures are not used. Any statements about program effectiveness must be accompanied by supporting data within the text.

Material to be considered for Frontline Reports should be sent to the column editor, Francine Cournos, M.D., at the New York State Psychiatric Institute, 1051 Riverside Drive, Unit 112, New York, New York 10032. Dr. Cournos is director of the institute's Washington Heights Community Service.